

FIG. 1

226

BARTERER ORDER				
HAVE	QTY	VALUE	WANT	VALUE
DD	45	20	AET	90

228

230

232

234

236

216

TRAN- BARTER DATA					
SACTION	HAVE	QTY	VALUE	WANT	VALUE
2221	AET	100	MKT	OMX	MKT-3%
4890	AET*	75	85	DD*	16
6034	AET*	100	90	DD	MKT
5564	DD	300	17	AET	74
9021	DD	110	MKT	RHAT	MKT
3752	OMX*	30	41	RHAT*	25
7657	OMX*	35	45	DD	20
3360	OMX*	40	MKT	RHAT	18
8290	RHAT	50	19	AET	MKT
0077	RHAT*	20	18	AET	MKT
1779	RHAT	15	MKT	OMX	29

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FIG. 2

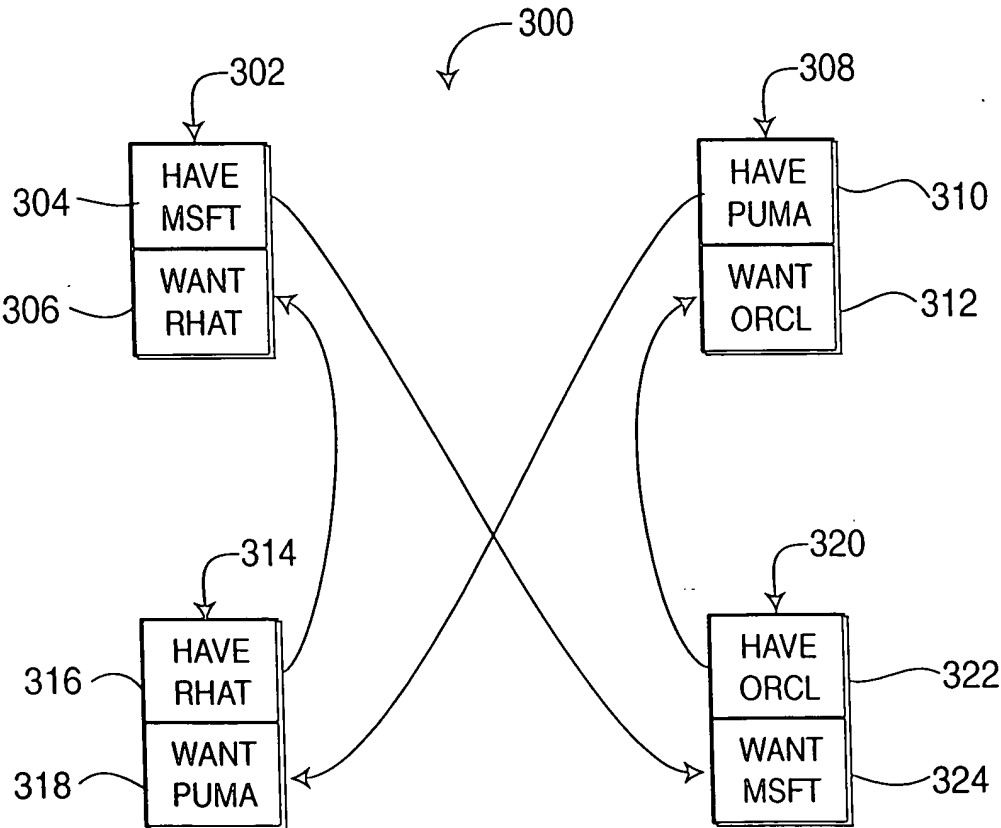


FIG. 3

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400

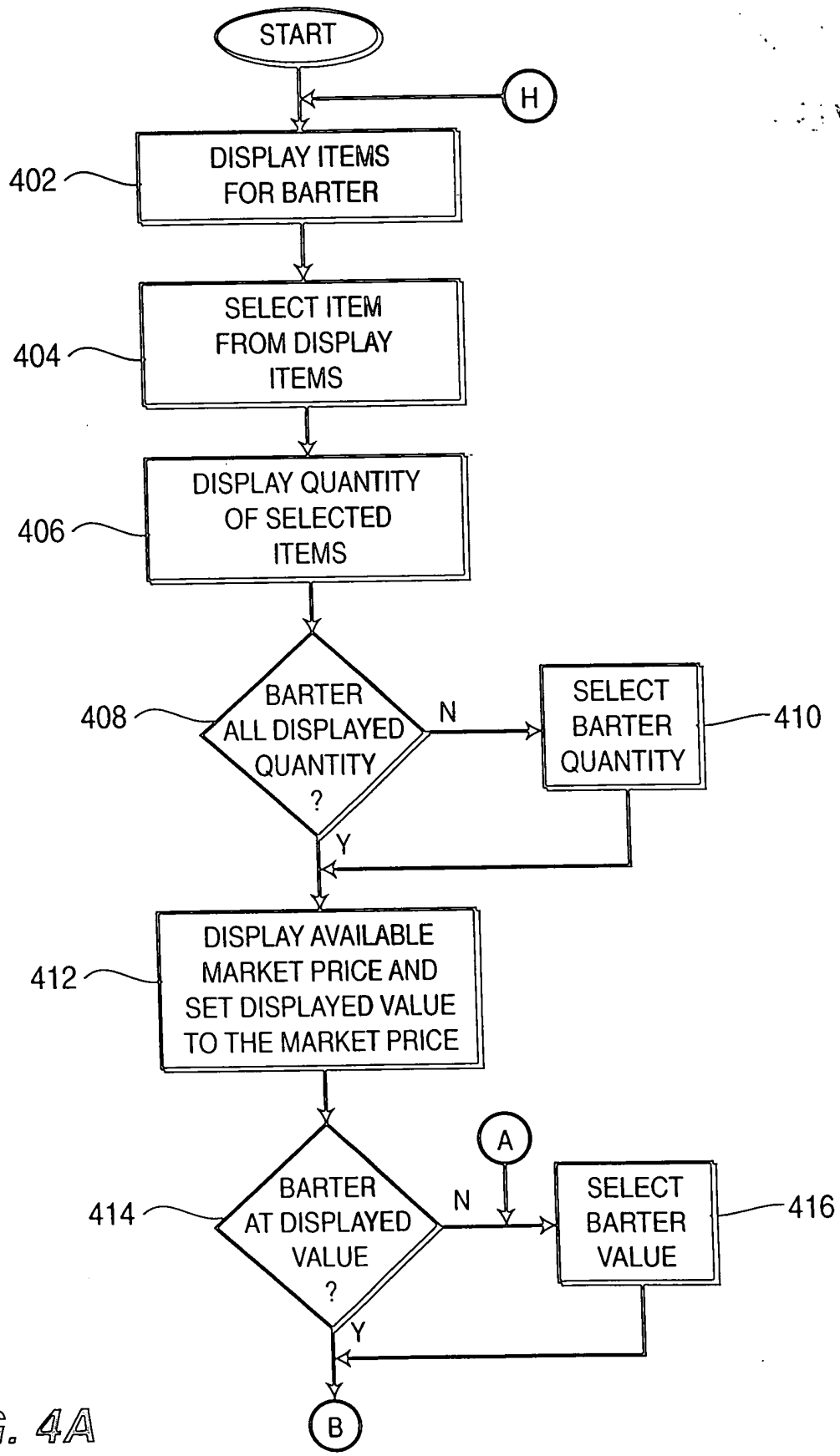


FIG. 4A

400

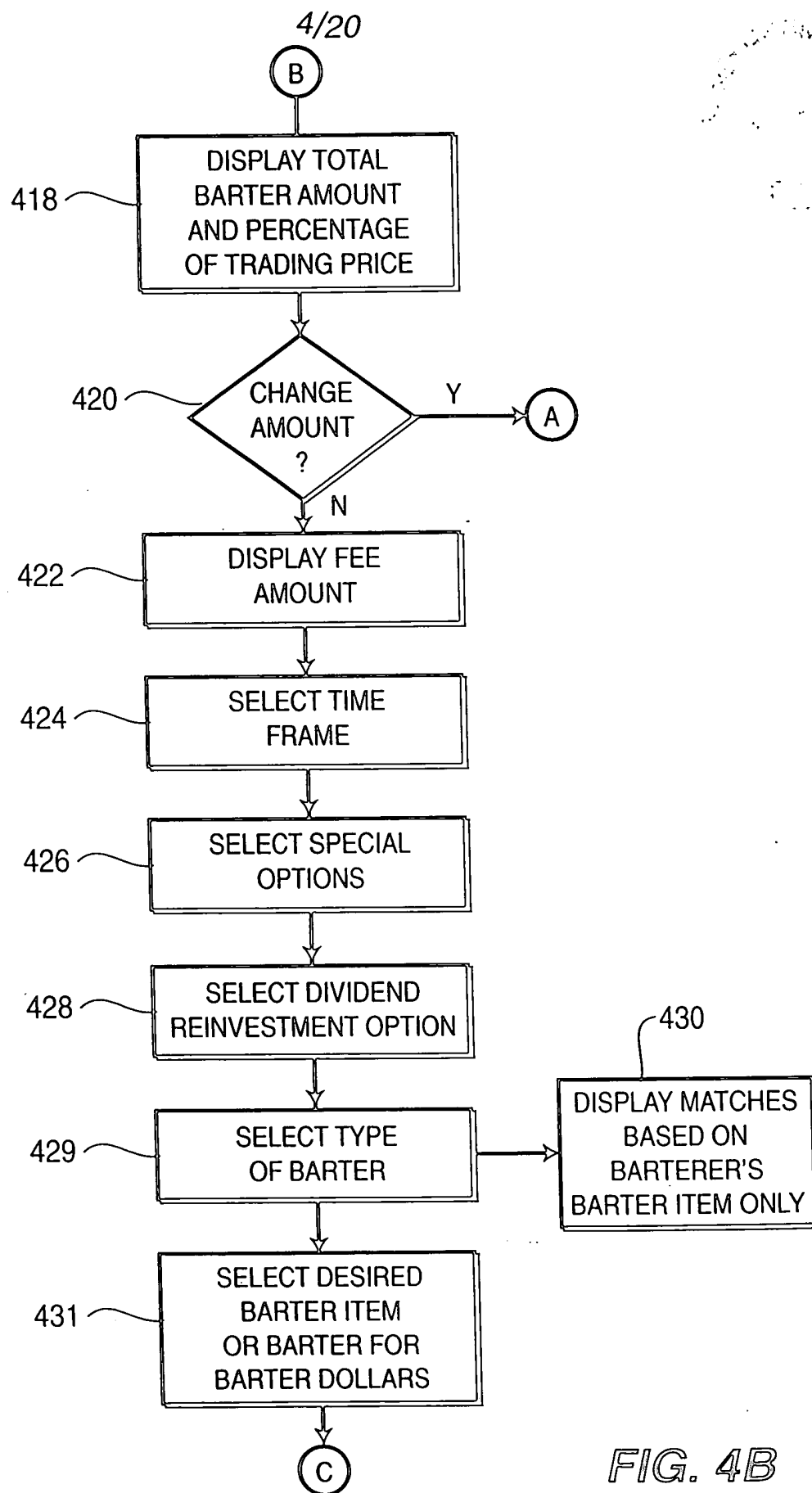


FIG. 4B

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400

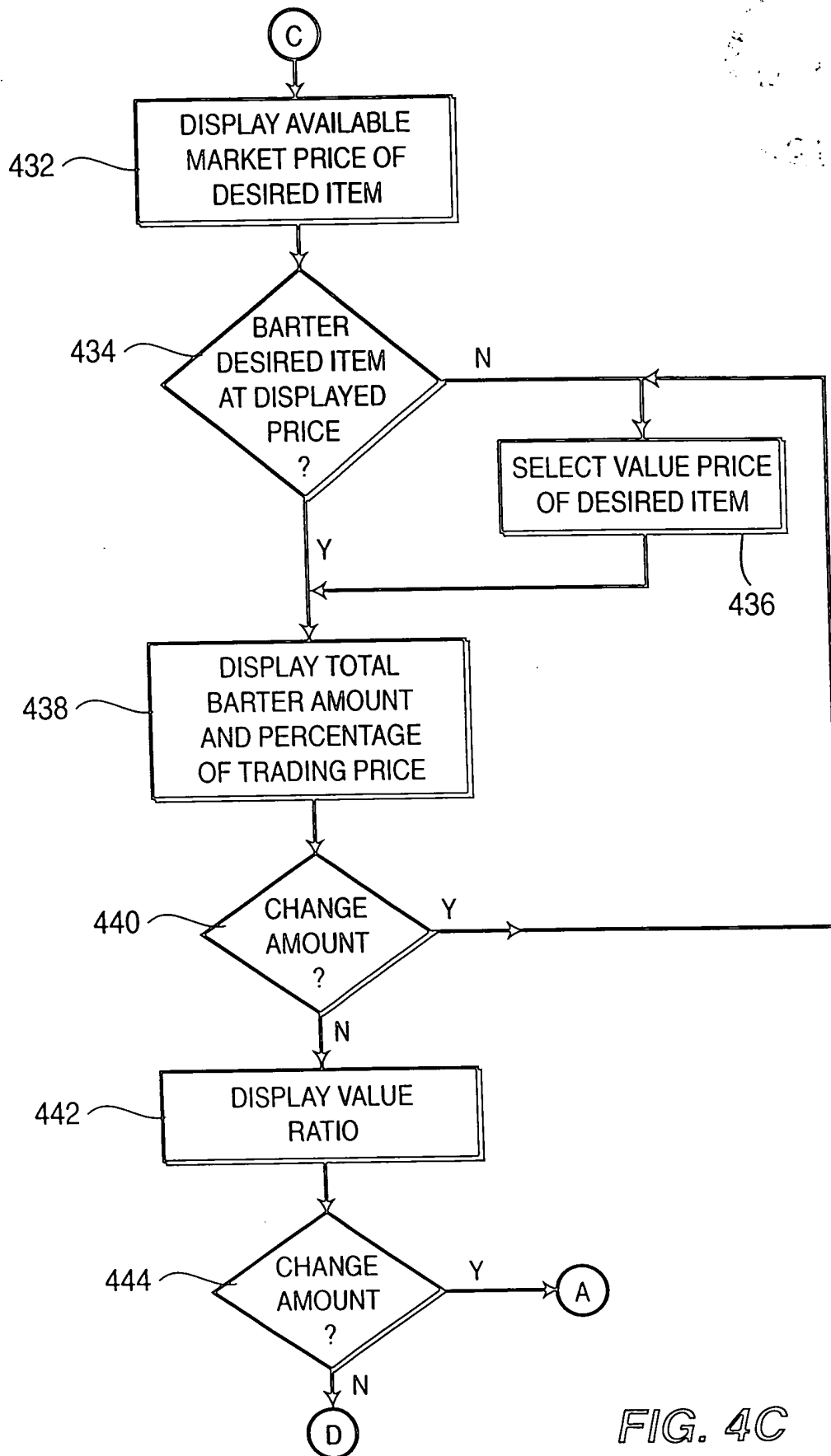


FIG. 4C

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400

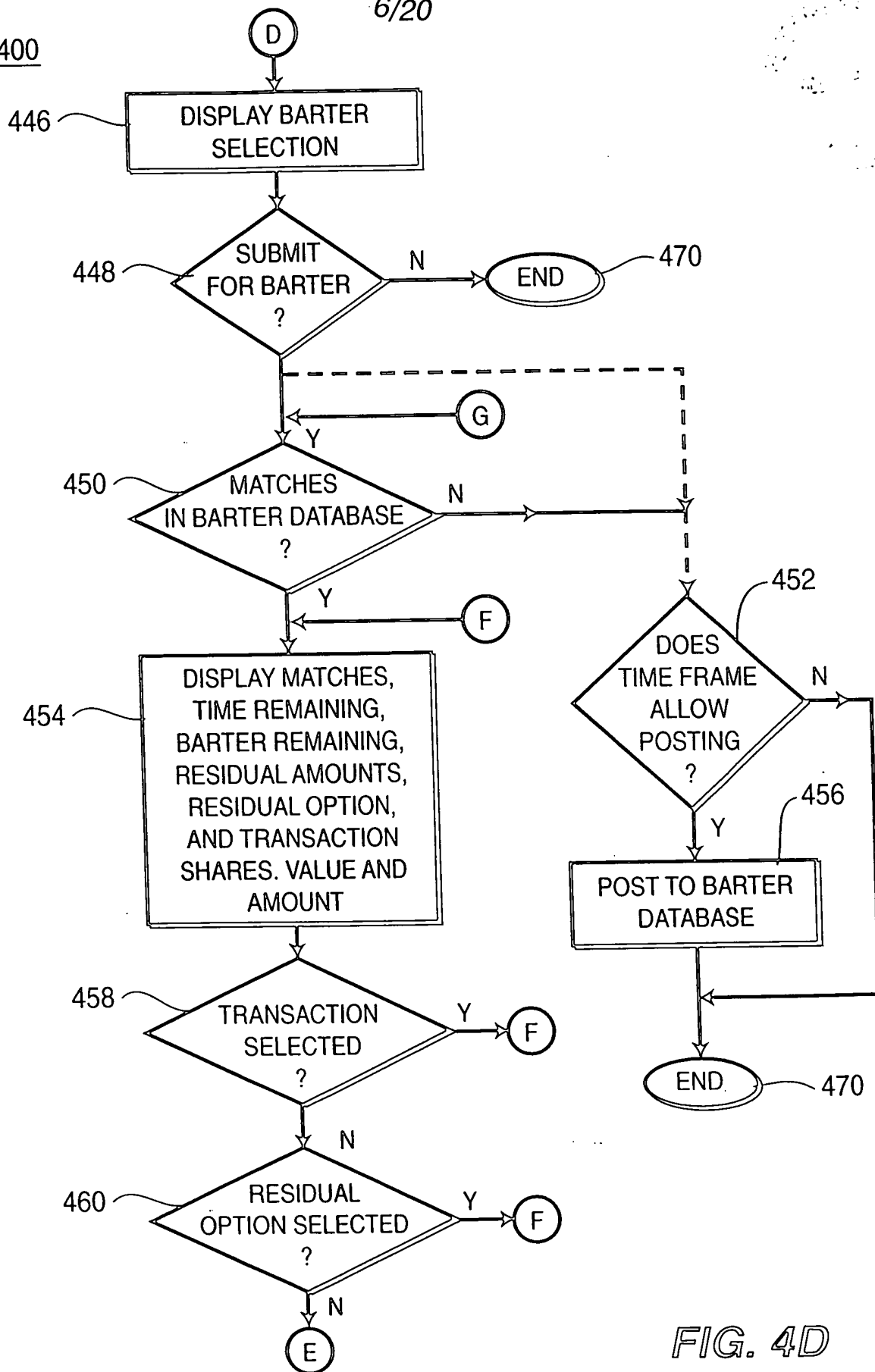


FIG. 4D

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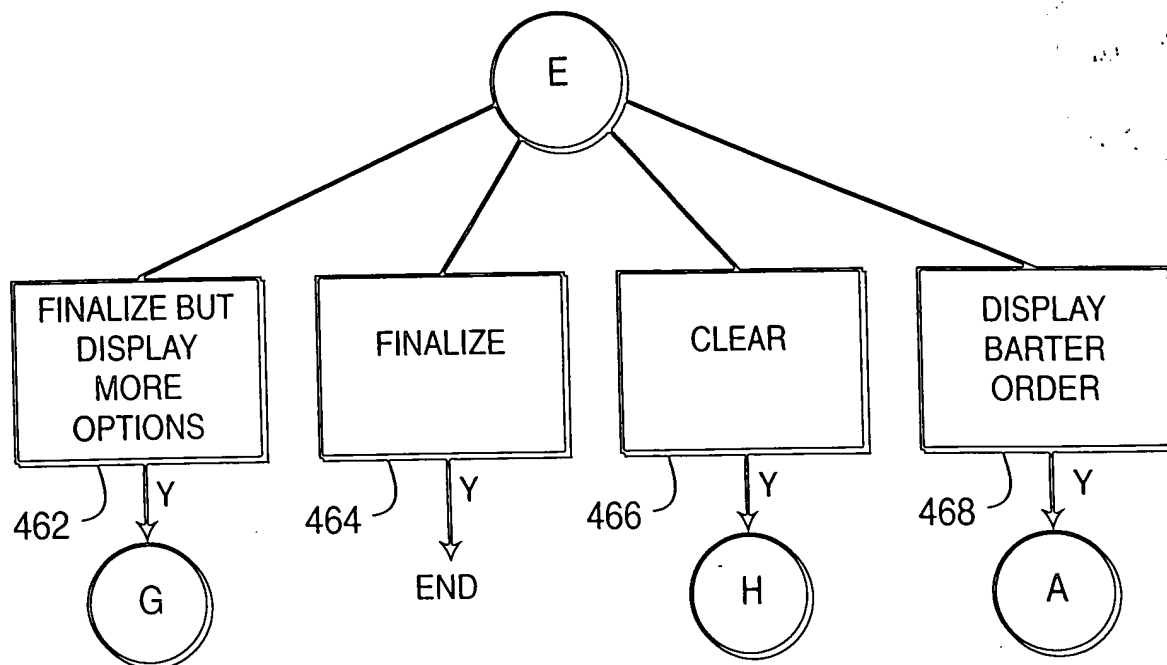


FIG. 4E

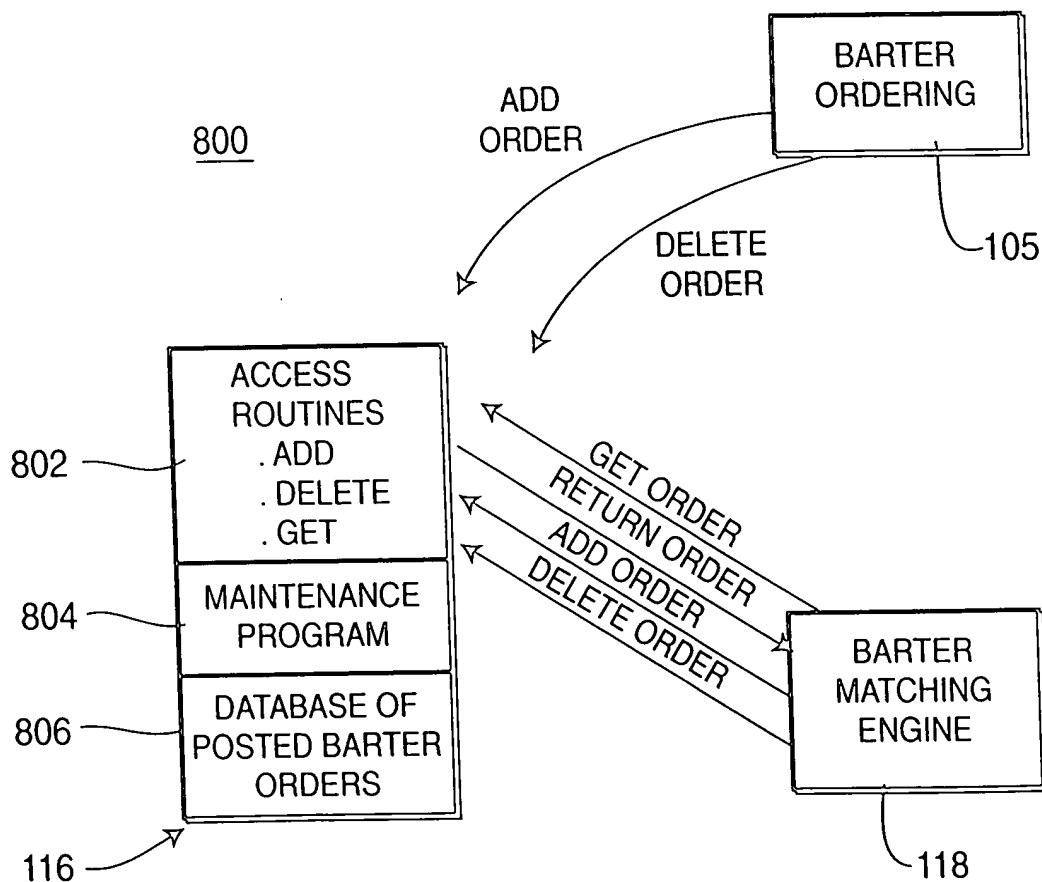


FIG. 8

500

BARTER SECURITY

ITEMS

502

DD	▽
DD	600
PFE* 01/05/2001-01/05/2001	100
PUMA	250
DD* 11/10/2000-____/____/____	200
CASH \$	
WEB BARTER \$	

503

504

505

QUANTITY

600

△

▽

501

504

507

ITEMS BY CLASS

STOCK SYMBOL	▽
HIMMELSTEIN	
OPTION SYMBOL	▽
CASH XXXX	▽
WEB BARTER DOLLARS	▽

506

MARKET DATA FOR DD

Current stock trading price as of 02/15/1999 at time 10:45am is \$ 75.90

FIG. 5A

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500 VALUE \$ ▲
▼

OR

If stock or Himmelstein Option,

510 ☐ barter at current stock trading price

511

\$ + -

OR

% + -

per share,
web barter dollars,
cash

512 ☐ plus

514 VALUE

\$ ▲
▼

OR

% ▲
▼

518 ☐ minus

VALUE

\$ ▲
▼

OR

% ▲
▼

519 PERCENT

520 Total anticipated barter amount: _____

Note: Your value price is "X" percentage/dollar amount less/more than (or equal to) stock trading price.

522 change

523 continue

FIG. 5B

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524 — FEE AMOUNT per share is anticipated to be _____, if direct barter (total anticipated fee _____);

526 — FEE AMOUNT per share is anticipated to be _____, if web barter (total anticipated fee _____);

528 → TIMING

day only	▽
day only	
good until canceled	
fill or kill	
immediate or cancel	
only view current posts	

530 — SPECIAL CONDITIONS (OPTIONAL)

☐ minimum quantity

600

△▽

☐ do not reduce

☐ all or none

☐ deferred settlement date before _____ date after _____

531 —

☐ other security, if any, to be provided at settlement _____

FIG. 5C

APPROVED	O.G. FIG.	
BY	CLASS	SUBCLASS
DRAFTSMAN		

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532 ➤ DIVIDEND REINVESTMENT (FOR NEW STOCK)

- ☐ yes, new stock must have a dividend reinvestment program
- ☐ no, new stock must not have had a dividend reinvestment program
- ☐ accept new stock with or without a dividend reinvestment program

534 — TYPE OF BARTER

- ☐ direct barter only (fee amount anticipated to be _____)
- ☐ if direct barter not available, then barter with web site (fee amount anticipated to be _____)

DESIRED ITEM

Security

AET ▼

Industry

Health Care ▼

535

536

- ☐ Himmelstein Option for stock
- 537 ➔ ☐ Stock
- ☐ Cash
- ☐ Web barter dollars

MARKET DATA FOR AET

538 ➔ Current stock trading price as of 02/15/1999 at time 10:45am is \$ 47.50 .

FIG. 5D

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540

Value

\$

Δ
▽

per share

OR

541

☐ if stock or Himmelstein Option, barter at current stock trading price

☐ plus

Value

\$

Δ
▽

OR

Percent

%

Δ
▽

☐ minus

Value

\$

Δ
▽

OR

Percent

%

Δ
▽

FIG. 5E

542 Your total barter amount is (anticipated to be) _____ for new stock
Note: your value price is "X" percentage/dollar amount less/more than current
stock trading price.

544 Note: Ratio of stock to stock trading price divided by new stock to stock trading
price is "XX.XX"

546 System will re-list order and list terms and conditions and ask if you want to continue
must click on the continue/agree icon

548 549

FIG. 5F

APPROVED	O.G. FIG.	
BY	CLASS	SUBCLASS
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AVAILABLE BARTER ORDERS

NOTE: CLICK ON ORDER # IF CHOOSE TO DO BARTER

IF MORE THAN ONE SELECTION, CLICK ON SEQUENTIAL PREFERENCE

ORDER #		SYMBOL	PRICE	RATIO	STOCK TRADING PRICE	PRICE FLUCTUATE WITH SPECIAL	CONDITIONS	TIMING	DIVIDEND
1)	1000526	IBM	115	1.0200	120	\$13,800.00	YES	1	YES
2)	9843566	IBM	115 ^{1/16}	1.0194	40	\$4,602.50	NO	2	NO
3)	1043442	IBM	115 ^{11/16}	1.0139	100	\$11,568.75	NO	1	YES
4)									

SETTLEMENT = ϕ

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ORDER #	# OF SHARES GIVING	PRICE OF GIVING ITEM	# OF SHARES RECEIVING	PRICE OF RECEIVING ITEM	BARTER AMOUNT
1)					
2)					
3)					
4)					
5)					
6)					

AMOUNT OF BARTER LEFT	TIME REMAINING
RESIDUAL AMOUNT LEFT OVER	<input type="checkbox"/> HOLD IN ESCROW <input type="checkbox"/> DONATE IT <input type="checkbox"/> PURCHASE OTHER STOCK

631 CLEAR

632 CHANGE BARTER ORDER

633 FINALIZE TRANSACTION

634 FINALIZE TRANSACTION BUT DISPLAY MORE BARTER OPTIONS

FIG. 6

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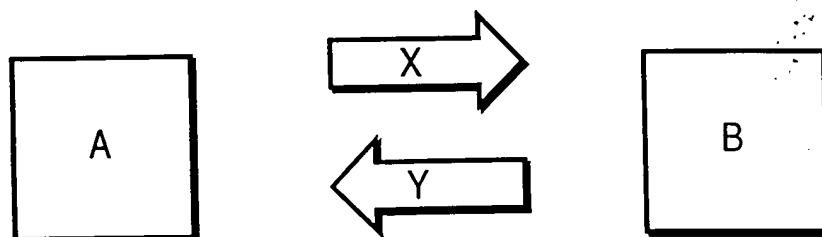


FIG. 7A

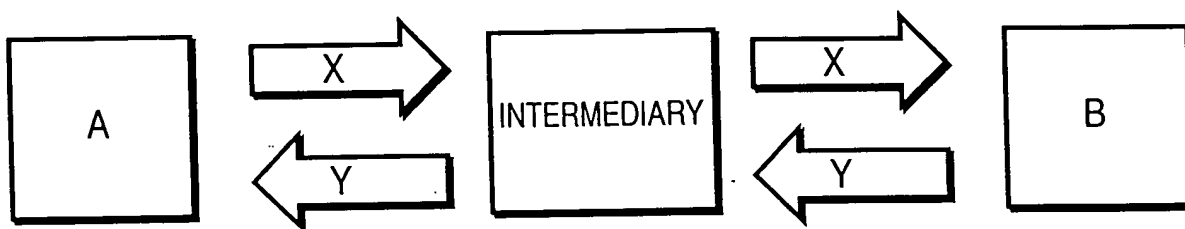


FIG. 7B

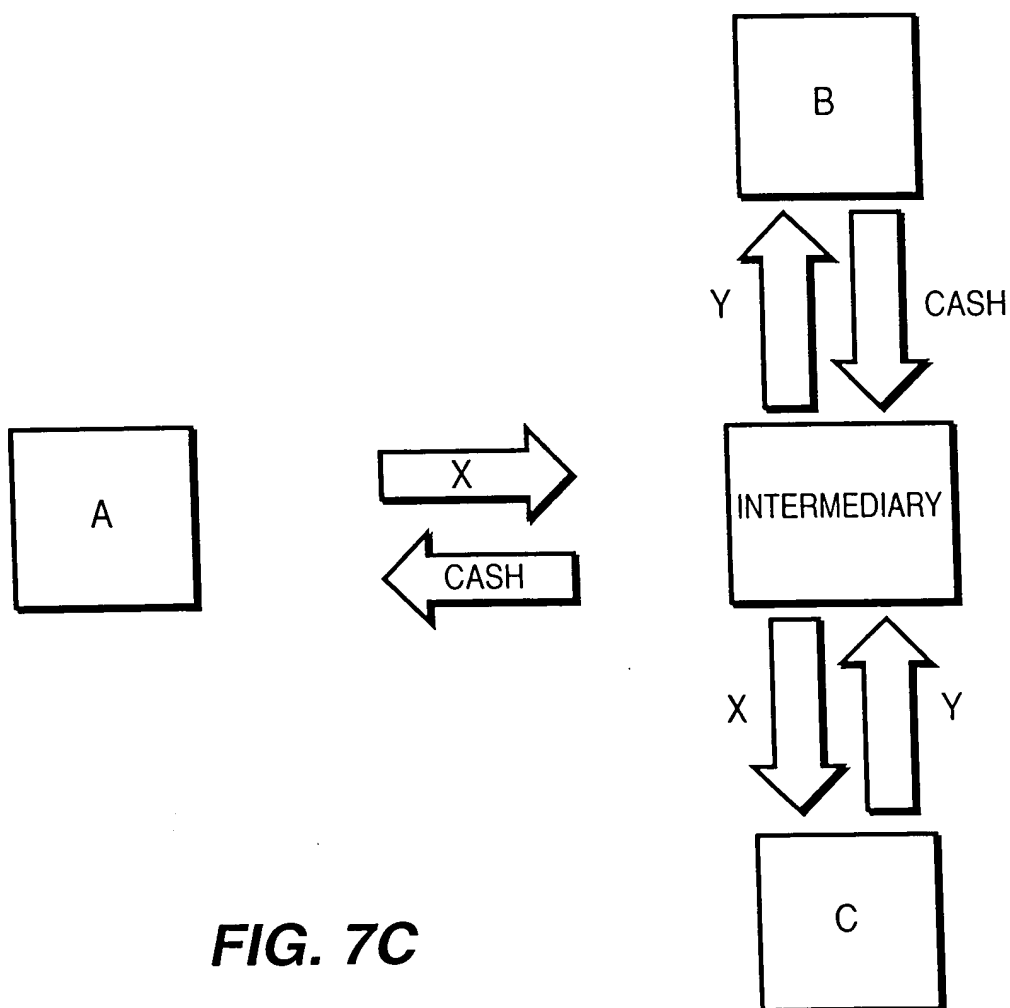


FIG. 7C

FIG. 7A

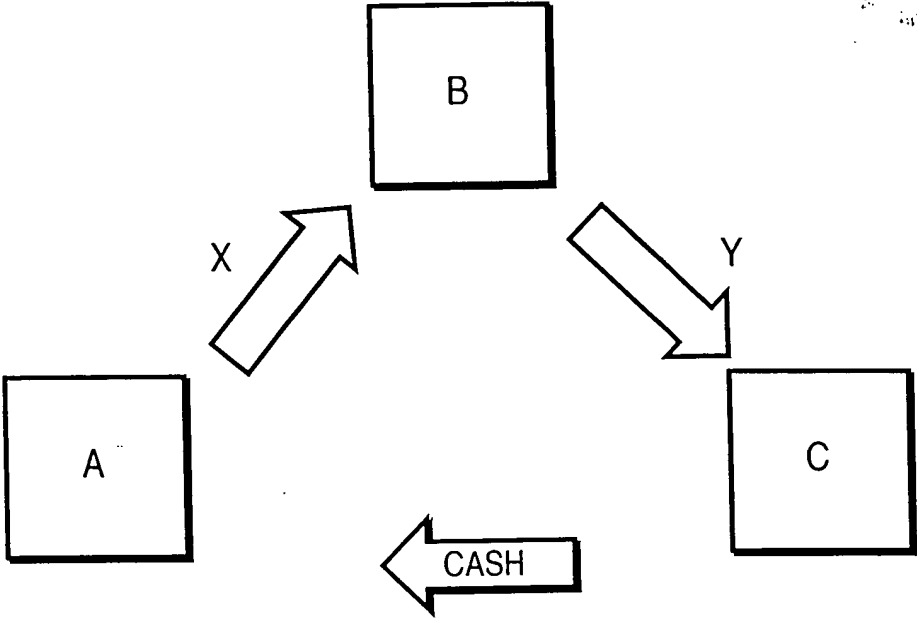


FIG. 7D

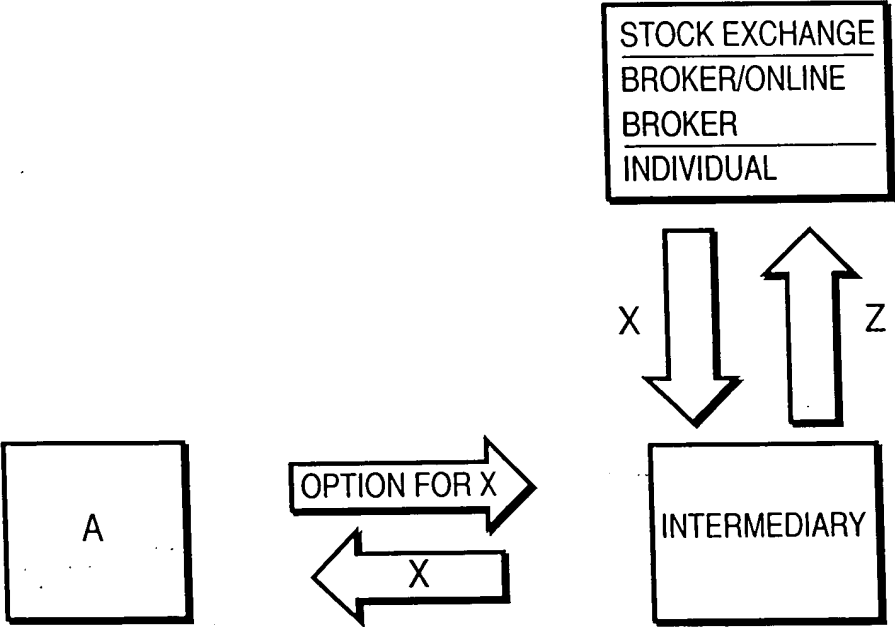


FIG. 7E

FIG. 9A

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FIG. 9AA FIG. 9AB

	Class/ Type	Item/ Identification	Amount	Market Value	Bid/Strike Price	Expiration Settlement/Date
1	Stock	Symbol or Name	# Shares	\$	N/A	N/A
2	Currency	US or Foreign	X	US\$	N/A	N/A
3	Bonds	Issuer	X	\$	N/A	N/A
4	Options	Z	N	\$	+/- \$	MMDDYY
5	Government Bond	Treasury Notes, Bonds or Bills	X	\$	+/- \$	N/A
6	Futures	Symbol or Name	Measure- ment* Quality	\$	N/A	MMDDYY
7	Annuities	Issuer	X	\$	N/A	N/A
8	CD's	Issuer	X	\$	N/A	N/A
9	Web Barter Dollar	IOU	X	\$	+/- \$	MMDDYY

Z = Any security

X = Foreign or domestic currency

FIG. 9AA

Barter Value	Interest Rate/ Current Yield	Due/Maturity Date	Other	Barter/Settlement Date-Open/Close
\$ or specific relationship to market value	N/A	N/A	Dividend?	MMDDYY
				MMDDYY
US\$ or specific relationship to market value	N/A	N/A	Par Discount Premium	MMDDYY
				MMDDYY
\$ or specific relationship to market value	Y% interest rate	MMDDYY	N/A	MMDDYY
				MMDDYY
\$ or specific relationship to market value	N/A	N/A	N/A	MMDDYY
				MMDDYY
\$ or specific relationship to market value	Y% yield rate	MMDDYY	N/A	MMDDYY
				MMDDYY
\$ or specific relationship to market value	N/A	N/A	N/A	MMDDYY
				MMDDYY
\$ or specific relationship to market value	Y% interest rate	MMDDYY	Fixed or Variable Interest Rate	MMDDYY
				MMDDYY
\$ or specific relationship to market value	Y% interest rate	MMDDYY	Compound Interest	MMDDYY
				MMDDYY
\$ or specific relationship to market value	Y% interest rate	MMDDYY	Dividend? Par, Discount, Premium Fixed or Variable Interest Compound Interest?	MMDDYY
				MMDDYY

Y = Interest rate or current yield in percentage

N = Quantity of options

FIG. 9AB

FIG. 9B

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FIG. 9BA FIG. 9BB

	Class/ Type	Item/ Identification	Amount	Market Value	Bid/Strike Price	Expiration Settlement/Date
1	Stock	AOL	100 Shares	\$ 10,900.00	N/A	N/A
2	Currency	Canadian \$	5,000CN\$	\$ 3,453.04	N/A	N/A
3	Bonds	Mirage	25,000.00	\$ 24,750.00	N/A	N/A
4	Options	IBM	12 Options	\$ 122,400.00	\$ 102.00	30-Nov-99
5	T-Bills	US Government	\$ 10,000.00	\$ 10,000.00	\$ 101.00	N/A
6	Futures	Gold	10 troy oz	\$ 3,157.50	N/A	30-Nov-99
7	Annuity	TransAmerica	\$ 100,000.00	\$ 100,000.00	N/A	N/A
8	CD's	SunWest Bank	\$ 50,000.00	\$ 50,000.00	N/A	N/A
9	Web Barter Dollar	IOU	\$ 25,000.00	\$ 25,000.00	A	B

A = Could have Bid Strike Price if Web Barter \$ are from Options or Government Bonds + C9 depending on terms and conditions in barter transactions

B = Could have Expiration Date if Web Barter \$ are from Options or Futures depending on terms and conditions in barter transactions

FIG. 9BA

APPROVED	O.G. FIG.	
BY	CLASS	SUBCLASS
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Barter Value	Interest Rate/ Current Yield	Due/Maturity Date	Other	Barter/Settlement Date-Open/Close
\$ 10,750.00	N/A	N/A	Dividend?	01-FEB-01/ 29-FEB-01
\$ 3,448.28	N/A	N/A	N/A	02-JAN-00/ 02-JAN-00
\$ 24,900.00	8.50%	1-MAR-15	Par, Discount Premium	24-DEC-03/ 28-DEC-05
\$ 12,840.00	N/A	N/A	N/A	4-OCT-99/ 4-NOV-99
\$ 9,800.00	4.93%	15-Jun-00	N/A	OPEN/ 15-DEC-99
\$ 3,000.00	N/A	N/A	N/A	OPEN/ 31-OCT-59
\$ 105,000.00	10.50%	10-Jun-06	Fixed or Variable Interest Rate	02-JAN-02/ 12-JAN-42
\$ 49,000.00	4.14%	10-May-00	Compound Interest?	12-DEC-99/ 12-DEC-29
\$ 24,500.00	C	D	Dividend? Par,Discount, Premium Fixed or Variable Interest Compound Interest?	OPEN/ 15-JUN-04

C = Could have Yield or Interest Rate if Web Barter \$ are from Bonds, Government Bonds, Annuities or CD's depending on terms and conditions in barter transactions

D = Could have Maturity Date if Web Barter \$ are from Bonds, Government Bonds, Annuities or CD's depending on terms and conditions in barter transactions

FIG. 9BB